

Predicting human performance by channelized Hotelling observer in discriminating between Alzheimer's dementia and controls using statistically processed brain perfusion SPECT

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Objective: We compared the diagnostic accuracy achieved by a human observer (nuclear medicine physician) and a channelized Hotelling (CH) observer on the basis of receiver-operating characteristics (ROC) curve for the differential diagnosis of Alzheimer's disease (AD) from SPECT images.

Methods: The I-123-IMP brain perfusion SPECT images of 42 subjects (21 AD patients and 21 healthy controls) were used for an interpretation study and those of 10 healthy subjects were for a normal database. SPECT images were processed into four types: original SPECT images, three-dimensional stereotactic surface projection (3DSSP) images derived from them, Z-scores of SPECT images, and Z-scores of 3DSSP images. Five nuclear medicine physicians evaluated the test dataset sequentially as to whether the presented images were those of AD patients, which were rated using five categories of certainty: definitely, possibly, equivocally, possibly not, and definitely not. The test statistics (λ) of the dataset generated by the CH observer were rated for ROC analysis. The areas under the ROC curves (A_z) for the four image types interpreted by the human and CH observers were estimated and compared. **Results:** Among the four image types, the best performance based on A_z obtained by both the CH and human observers was observed for the Z-score of 3DSSP images, and the lowest was for the original SPECT images. **Conclusions:** The performance of the CH observer was similar to that of the human observers, and both were dependent on the image type. This indicates that the CH observer may predict human performance in discriminating Alzheimer's dementia and can be useful for comparing and optimizing image processing methods of brain perfusion SPECT without human observers.

Key words: channelized Hotelling observer, Alzheimer's disease, single-photon emission tomography, ROC analysis, three-dimensional stereotactic surface projection

INTRODUCTION

RECENTLY, new medications such as cholinesterase inhibitors have been reported to effectively delay Alzheimer's disease (AD) progression.¹ This finding has increased the importance of an early diagnosis of AD. Thus, over the past several years, much effort has been made to detect AD and to improve the detection of AD on interpretation of brain perfusion SPECT.^{2–12} For instance,

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the statistical manipulation of SPECT images after reconstruction and anatomical standardization makes it easier for a radiologist to detect subtle changes.^{6,7,9} The Z-score of three-dimensional stereotactic surface projection (3DSSP) images also adds information regarding cortical perfusion even in very early stages of AD.¹⁰⁻¹²

The receiver-operating characteristics (ROC) analysis by human observers is often used to assess the benefits of newly developed diagnostic techniques.⁸⁻¹¹ Conventionally, interpretation by human observers has been the standard for assessing the detection performance of any algorithm. However, human-observer studies are difficult and time-consuming. Therefore, an alternative method using a mathematical “model,” such as a channelized Hotelling (CH) observer, has been developed to assess the effect of image processing (e.g., filter cutoff frequency and scatter compensation strategies).¹³⁻¹⁸ A CH observer is characterized as having a psychophysiologic basis in the frequency-selective channels of the human visual system and is expected to predict human performance in image interpretation.¹⁴

A good agreement between the CH observer (or a similar numerical model) and a human observer for myocardial and hepatic SPECT imaging was reported previously.¹⁸⁻²¹ Sankaran et al. reported that the reference index for the performance of defect detection, that is, the area under the ROC curve (A_z), is slightly higher for the CH observer than for the human observer, but was marginally within 1 S.D. of human A_z for all combinations of attenuation, detector response, and scatter corrections for myocardial SPECT images.¹⁸ Gifford et al. reported that the CH observer in tumor detection by ⁶⁷Ga SPECT gives

a good quantitative agreement with human data sets obtained from two image reconstruction strategies, Filtered-Back Projection (FBP) and Order Subset Expectation Maximization (OS-EM).²¹ These studies were aimed at evaluating the diagnostic accuracy of a CH observer compared with a human observer for different image reconstruction and processing protocols. The results suggest that a CH observer may be used as an approximation of a human observer for various detection tasks. The CH observer, however, has not been validated for the assessment of statistically processed brain perfusion images.

The goal of this study was to compare human and CH observers through ROC curves to detect the perfusion pattern characteristic of AD on SPECT images. In this study, we investigated the effects of three frequency cutoff channel models of CH observers.

MATERIAL AND METHODS

Subjects

Brain perfusion SPECT images of 52 subjects were analyzed (AD patients, $n = 21$; healthy volunteers as controls, $n = 31$). In the patient group, there were 6 males and 15 females, with a mean age of 69.3 ± 6.3 years (range, 51–75). They were clinically diagnosed by geriatric physicians as having probable AD on the basis of NINCDS-ADRDA criteria.²² Their averaged Mini Mental State Examination (MMSE) score was 21.6 ± 2.1 (range, 17–26).²³ The controls comprised 15 males and 16 females, with a mean age of 64.9 ± 6.27 years (range 54–74). They were participants in a research program on brain aging in city dwellers conducted by the Institute of Development,

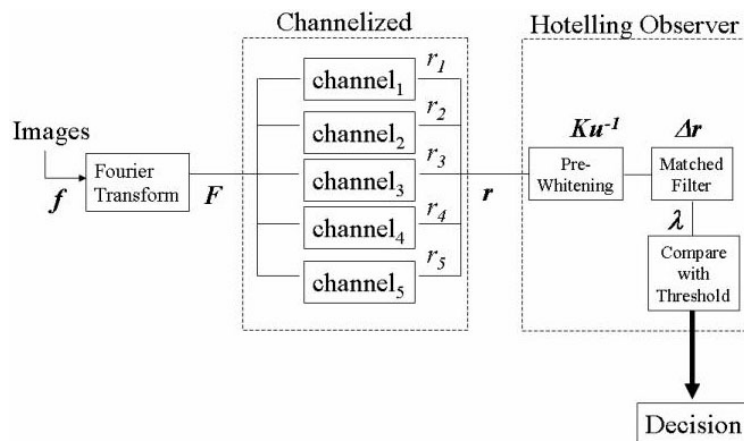


Fig. 1 Schematic diagram of a CH observer. Image \mathbf{f} was first converted by Fourier transformation. In frequency domain, filtering was applied for Fourier transformed image \mathbf{F} using radially symmetric channels \mathbf{u}_c and then the channel matrix \mathbf{r} was obtained (named as Channelized process). The channel matrix \mathbf{r} was processed by pre-whitening (\mathbf{K}_U^{-1}) and matched filter ($\Delta\mathbf{r}$) and then finally, test statistic λ was obtained. The test statistic λ was diagnosed using threshold λ_{thresh} , whether the input image \mathbf{f} falls in one group or the other (named as Hotelling observer process). Character of Hotelling observer is the use of averaged matrix from the two-covariance matrices derived from channel matrices for one group or the other for \mathbf{K}_U matrix.

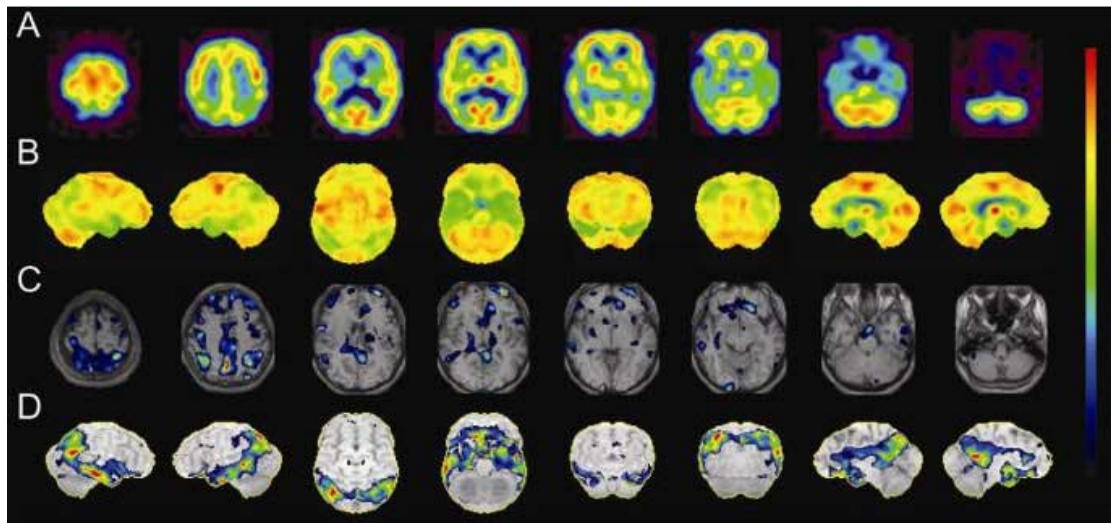


Fig. 2 Representative SPECT images (A), 3DSSP images (B), Z-scores of SPECT images (C) and Z-scores of 3DSSP images (D) used for image interpretation experiment. Images in (B) and (D) are, from left to right, right lateral, left lateral, superior, inferior, anterior, posterior, right mid-sagittal, and left mid-sagittal views. Images in (C) and (D) were superimposed on template MR images.

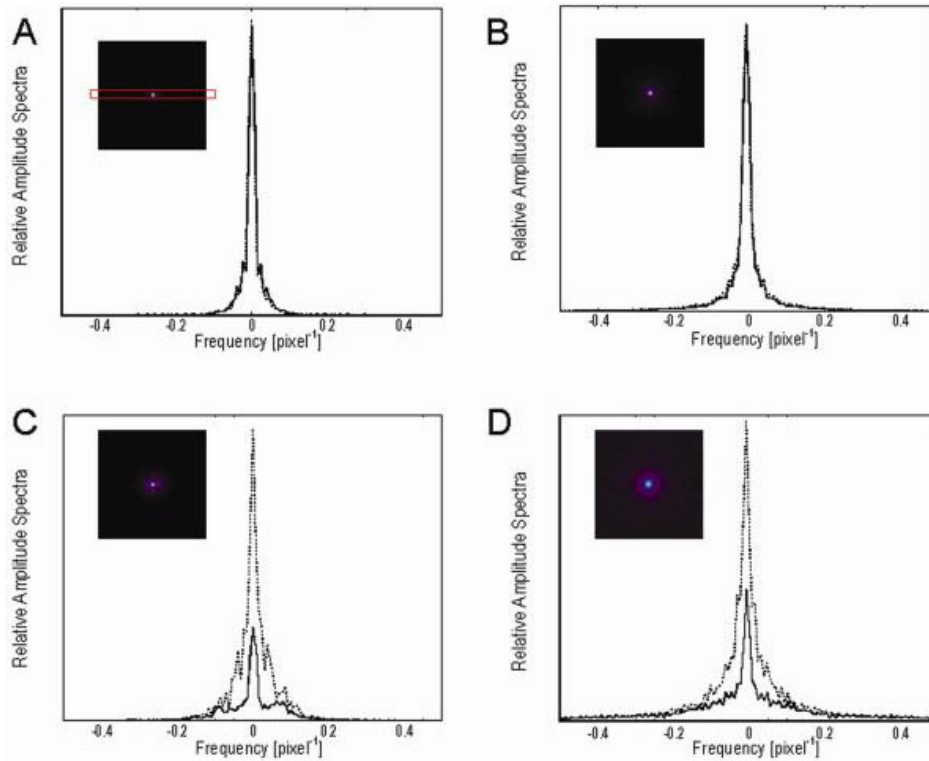


Fig. 3 Profiles of Fourier amplitude spectra from normal control (*solid line*) and Alzheimer's dementia patient (*dotted line*) calculated from SPECT image (A), 3DSSP image (B), Z-score of SPECT image (C) and Z-score of 3DSSP image (D). Individual profiles for the four types of image were obtained from the following images: images in (A) and (C) are transverse views at central slices of 3D Fourier amplitude spectra. Images in (B) and (D) are summed 2D Fourier amplitude spectra along eight individual slices of 3DSSP images.

Aging and Cancer, Tohoku University and there were no abnormalities on their MR images.²⁴ In this study, we randomly selected 10 controls (mean age, 64.8 ± 5.65 years) as the normal database for the calculation of Z-score, and the other 21 controls were used as test subjects for ROC analysis. We obtained informed consent from all AD patients or their next of kin. Written informed consent was obtained from all controls after a proper explanation of the study, in accordance with the Code of Ethics of the World Medical Association (Declaration of Helsinki).

SPECT study

SPECT study of cerebral perfusion was performed using *N*-isopropyl-¹²³I-*p*-iodoamphetamine (IMP). All subjects received 111 MBq of the compound intravenously under standard resting conditions while lying supine on a scanning couch. The main projection data ($158 \text{ keV} \pm 15\%$, 4 degree sampling) were acquired continuously for 30 min using a triple-head SPECT camera (Multispect3, Siemens, Malvern, PA, USA) with fan-beam collimators. The projection data were smoothed using a Butterworth filter (order 8 and a cutoff of 0.3 cycles/pixel) and reconstructed by FBP with a Ramp filter (10.6 mm FWHM of image resolution). Attenuation correction by Chang's method was applied assuming an attenuation coefficient of 0.08 cm^{-1} . Scatter correction was not performed.

Channelized Hotelling observer

A schematic diagram of CH observer is shown in Figure 1. CH observer accepts as an input an image f belonging to either a class of normal images, f_B , or a class of abnormal images (in this study, "abnormal" refers to AD), f_L , and provides the test statistic λ , which indicates the statistical index that determines whether the input image f falls in one group or the other.^{13,14}

Initially, image f is converted by Fourier transformation. In frequency domain, filtering is applied for Fourier transformed image $F(\rho)$ using radially symmetric channels $u_c(\rho)$ defined by the formula [21]

$$u_c(\rho) = \begin{cases} 1 & \|\rho\| \in [\rho_0 2^{c-1}, \rho_0 2^c) \\ 0 & \text{Otherwise} \end{cases}, \quad (1)$$

$$r_c = \frac{\sum_{\rho} u_c(\rho) \cdot F(\rho)}{\sum_{\rho} u_c(\rho)}$$

for $c \in \{1, 2, 3, 4, 5\}$. By this filtering process, the channel matrix $\mathbf{r} (= [r_1, \dots, r_c])$ is obtained. In this study, sets with the frequency cutoff ρ_0 (0.015, 0.02313, and 0.03125 pixel^{-1}) were tested. These were designated as the low-, mid-, and high- ρ_0 channel models, respectively. The cutoff ρ_0 values of 0.015 and 0.03125 were obtained from Gifford et al.²¹ and Wollenweber et al.,¹⁹ respectively, and 0.02313 is the mean of these two values. The test statistic λ of a CH observer for the task of detecting AD is as follows:

$$\lambda(\mathbf{f}) = [\bar{\mathbf{r}}_L - \bar{\mathbf{r}}_B]^T \mathbf{K}_U^{-1} \mathbf{r}, \quad (2)$$

where \mathbf{r}_L and \mathbf{r}_B are the means of the two classes of channel matrices for the AD and control groups, respectively. The script "T" denotes a matrix transposition, and \mathbf{K}_U is the $c \times c$ matrix averaged from the two-covariance matrices derived from channel matrices for the AD and control groups.

Image processing

As shown in Figure 2, we generated four types of images. A) SPECT images: After anatomical standardization by SPM 99, the normalization of global counts for each subject was set to 500 with proportional scaling. The matrix size of a SPECT image was $79 \times 95 \times 68$ ($2 \times 2 \times 2 \text{ mm}^3$). B) 3DSSP images: 3DSSP images (128×128 ; pixel size, $2.25 \times 2.25 \text{ mm}^2$; and eight (projection) images) were processed according to a previous report,¹² where the maximum cortical activity was extracted adjacent to predefined surface pixels on a pixel-by-pixel basis, and the normalization of global counts for each subject was set to 500 with proportional scaling. C) Z-score images: The Z-value of SPECT images was calculated on a pixel-by-pixel basis with the following equation using SPECT images: $Z = (\text{mean of normalized pixel of normal database} - \text{normalized pixel of a patient}) / \text{SD of database}$. The normal database consisted of 10 controls as described in the previous section. D) Z-score of 3DSSP images: Z-score of 3DSSP images was calculated on a pixel-by-pixel basis using the equation described in C).

Image interpretation

We performed image interpretation using the four types of image for the differential diagnosis of AD patients from controls by human and CH observers.

Five experienced nuclear medicine physicians (4, 6, 8, 9, and 18 years of experience in brain nuclear medicine) were involved in the following four interpretation sessions: A) SPECT images: SPECT images in transverse (48 slices), sagittal (42 slices), and coronal planes (42 slices) were printed on two separate sheets. B) 3DSSP images: 3DSSP images were interpreted together with SPECT images. A 3DSSP image comprised eight projection images of the brain's surface and was printed on a sheet (Fig. 2B). C) Z-score images: Z-score images were interpreted together with SPECT images. A Z-score image ($z \geq 1$) superimposed upon template MR images (Fig. 2C) was printed on two sheets in transverse, sagittal, and coronal planes. D) Z-score of 3DSSP images: Z-scores of 3DSSP images were interpreted together with SPECT and 3DSSP images. A Z-score of 3DSSP image ($z \geq 1$) superimposed on a template MR image (Fig. 2D) was printed on a sheet. The nuclear medicine physicians were unaware of subject information or of predicted results, except for the information that the subjects comprised aged normal and AD patients. At the beginning of the

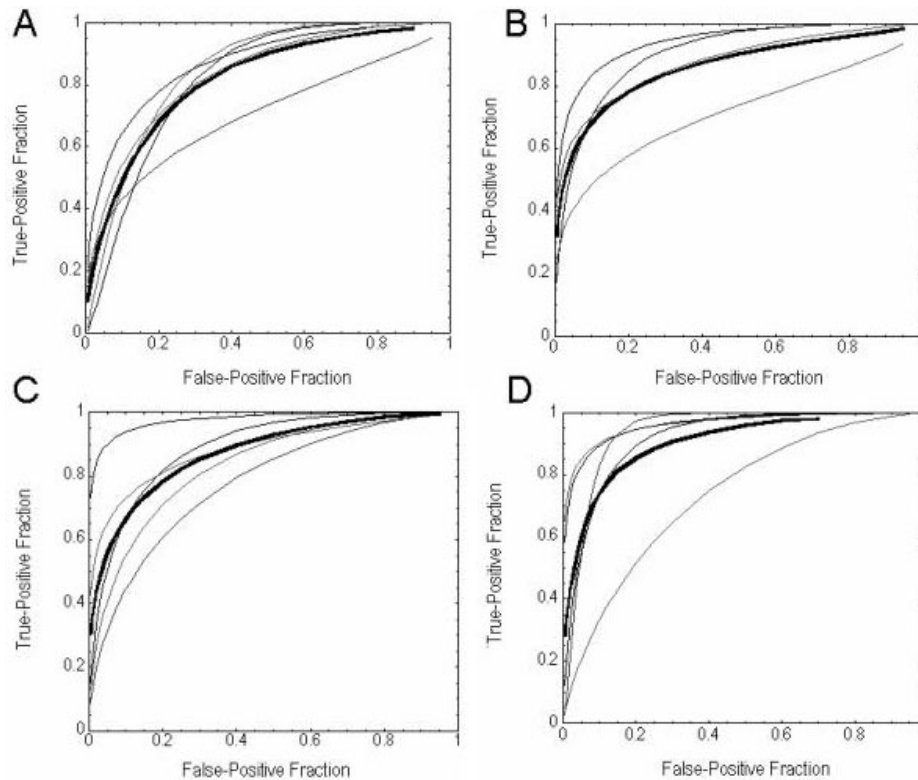


Fig. 4 Averaged (*bold solid lines*) and individual physician's (*thin solid lines*) ROC curves obtained from SPECT images (A), 3DSSP images (B), Z-scores of SPECT images (C) and Z-scores of 3DSSP images (D).

interpretation study, the nuclear medicine physicians received lectures, and practiced on one normal SPECT study and one study of a patient. The studies were not included in the study materials. Allotted time for the lecture and practice was 30 minutes in total. The lecture explained the typical SPECT findings in AD. The criteria were hypoperfusion of bilateral posterior cingulate gyri and precunei and/or hypoperfusion of bilateral medial-temporal and temporo-parietal lobes. Images were evaluated on a five-point scale of certainty: definitely, possibly, equivocally, possibly not, and definitely not. To avoid crossover interpretation artifacts, only one set of images was interpreted per session.

For the CH observer, the test statistics λ for all test images—A) SPECT images, B) 3DSSP images, C) Z-score images, and D) Z-score of 3DSSP images—were calculated and then a diagnosis was made after applying a fixed threshold value. First, all test images were converted into Fourier amplitude spectra and then channelized with a radial symmetric channeling filter using Eq. 1. The SPECT and Z-score images were performed using a 3D Fourier transform.²⁵ Because of slice independency, each slice of the 3DSSP and Z-score of 3DSSP images was processed using a 2D Fourier transform, and then Fourier amplitude spectra were summed along axial slices. The continuously distributed λ values of these test statistics

for 42 test data (SPECT, Z-score, 3DSSP and Z-score of 3DSSP images) were used for the ROC analysis.

Data analysis

The human observer ratings data and continuously distributed CH observer data were analyzed using the ROCKIT 0.9.1 β program developed by Metz and co-workers (<http://xray.bsd.uchicago.edu/kr1>).^{26,27} This program fitted a binormal ROC curve to the given data using a maximum-likelihood technique, and then A_z was estimated. A_z was used as the measure of comparison between the human and CH observers for the four image types. Especially, correlations between averaged A_z of human observer and A_z of CH observer for four image types were statistically evaluated using the t-distribution of standardized r (Pearson's correlation coefficient).

RESULTS

Figure 3 shows the profiles of Fourier amplitude spectra for a control and an AD patient for the four types of image. Differences in spectra between AD and controls were quite small for SPECT and 3DSSP images. However, the profiles for controls and AD were quite separable for the Z-scores of the SPECT and 3DSSP images.

Figure 4 shows the ROC curves for SPECT, 3DSSP,

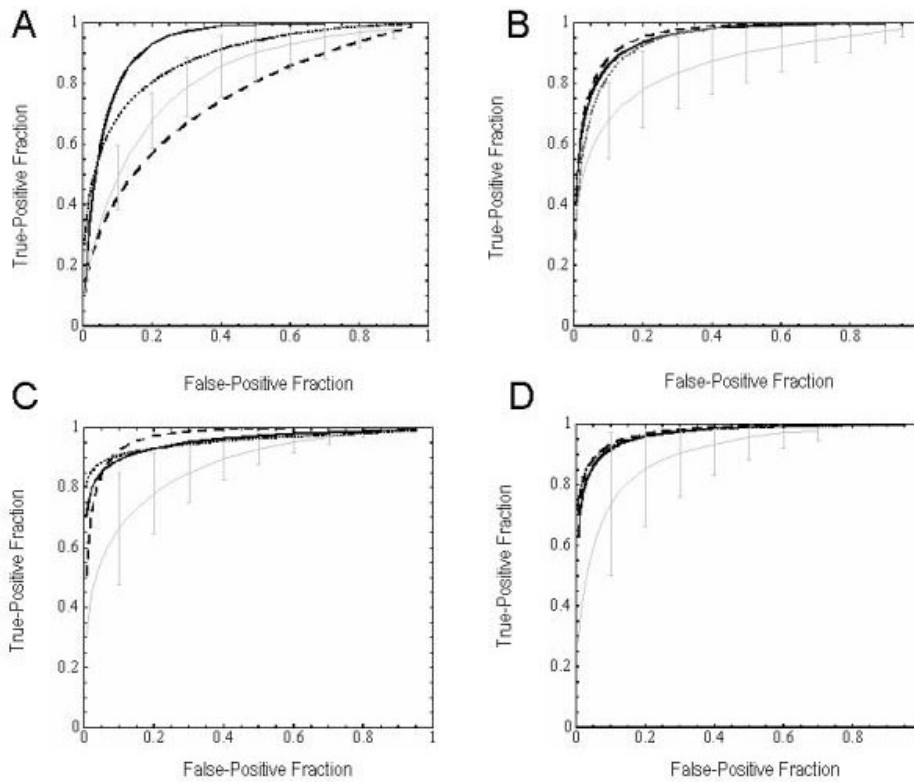


Fig. 5 ROC curves generated by CH observers with low- (*bold solid line*), mid- (*dotted line*), and high- (*dashed line*) channel models, and by averaged physicians (*thin solid lines*) from SPECT images (A), 3DSSP images (B), Z-scores of SPECT images (C) and Z-scores of 3DSSP images.

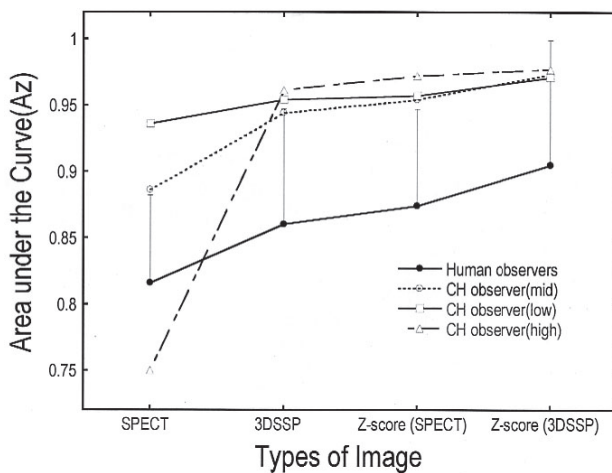


Fig. 6 Comparison of Az obtained by human and CH observers for four types of image. Error bars represent 1 SD for the results from the human observers ($n = 5$).

Z-score of SPECT, and Z-score of 3DSSP images as interpreted by the five physicians. The average performance of these human observers, as indicated by the average ROC curves, varied with the image type, and was generally better for Z-score images than for SPECT images, and was best for Z-score of 3DSSP images.

Figure 5 shows the ROC curves for SPECT, 3DSSP, Z-score of SPECT, and Z-score of 3DSSP images analyzed by the CH observers using the low-, mid-, and high- ρ_0 channel models in Eq. 1, compared with the averaged curve for the images analyzed by the five physicians. The performance of the CH observer in analyzing the SPECT images (Fig. 5A) was highly dependent on the definition of frequency cutoff values. This dependency was not observed in Figure 5B, C and D for the other image types.

Table 1 and Figure 6 show, respectively, a summary and a comparison of the averaged Az for the four image types as interpreted by the human and CH observers. Significant differences in Az obtained by human observers were observed between SPECT and Z-score of 3DSSP images ($p < 0.05$; paired t-test). CH observers on average performed better than the average human observer, as indicated by the Az values, with the exception of the CH observer that used a high- ρ_0 channel model for the SPECT images. In both groups, similar image-dependent trends were observed; that is, performance generally improved from the original SPECT images to the Z-score images of each respective data set. Pearson's correlation coefficient (r : index of linearity between two variables) for Az for the four image types between the average human and the CH observer using a mid- ρ_0 , low- ρ_0 and high- ρ_0 , channel model was 0.979, 0.997 and 0.887, respectively. There

Table 1 Summary of diagnostic performance of human observers with ROC analysis and CH observers with three frequency cutoff channel models. For human observers, mean \pm 1 SD were calculated among individual Az values of 5 physicians

	SPECT	3DSSP	Z-score	Z-score of 3DSSP
Human observer	0.816 \pm 0.066	0.860 \pm 0.087	0.874 \pm 0.073	0.905 \pm 0.094
CHO-Low	0.936	0.954	0.957	0.971
CHO-Mid	0.886	0.944	0.957	0.973
CHO-High	0.750	0.961	0.972	0.977

were significant correlations between CH observer using a mid- and low- ρ_0 and human observers ($p < 0.05$).

DISCUSSION

In this study, we used a CH observer to segregate SPECT perfusion brain images of AD patients from those of controls. The performance of the CH observer was similar to that of the human observers.

The averaged ROC curve obtained by human observers was different among the four types of images shown in Figure 4. Az is 0.816 or 0.905 when either a SPECT image or the Z-score of a 3DSSP image is analyzed. This finding is consistent with that of Honda et al.,¹¹ who reported that the combined interpretation of the Z-score of a 3DSSP image and the SPECT image elicited an improved Az (0.778) compared with the interpretation of a SPECT image alone (0.679). The absolute values and magnitudes of changes in our study are different from those of Honda et al., perhaps due to differences in patient population (averaged MMSE score: 24 in Honda et al. and 21.6 in our study) and in the experience level of the nuclear medicine physicians.

Figure 5 and 6 show that the rank order of the four types of image processing by the CH observer was similar to that by the human observer. Significant correlations between human and CH observer were observed in condition with mid- and low- ρ_0 channel model. In particular, the performance of the CH observer with the mid- ρ_0 channel model was either close to or within 1 S.D. of human Az. Furthermore, the performance of the CH observer for the SPECT image (Fig. 5A) was heavily dependent on the frequency cutoff; this may be due to the characteristics of the frequency spectra of the SPECT images, which were distributed at the center as shown in Figure 3A.

Physicians focus on test images according to anatomical information and comparison with normal perfusion patterns experienced during previous diagnostic procedures. In contrast, the CH observer identifies frequency patterns on which it bases its inferences. Taking into account this mechanistic difference in image interpretation, the input images for human and CH observers were different in this study. Because statistically processed Z-score images lack anatomical information for the physician's interpretation, the Z-score images were thresholded and superimposed on template MR images

(Fig. 2C and D). Additionally, the human observers always read the unprocessed SPECT images together with each processed image in three sessions. On the other hand, the CH observer identifies frequency characteristics and does not require any anatomical information. Therefore, the CH observer was able to work on a test target image alone without any additional information such as the MR template image and the setting of a threshold. The difference in input image for both human and CH observers makes this study unique from previous CH observer studies. Preliminary data suggest that the effects of the superimposed MR image and threshold value were negligible for the performance in the CH observer's analysis of Z-score images (data not shown).

In this study, the CH observer was implemented with 3D or 2D Fourier transformation for volumetric or surface-projection images, respectively. The CH observer with 2D Fourier transformation was originally developed in association with the human visual system on single-slice images.¹³ 3D or 2D Fourier transformation with axial summation is used for multislice images^{21,25}; however, there is no clear evidence showing any pertinent or obvious benefit of any technique. We assumed that when physicians interpret multislice brain perfusion SPECT images, they generate a mental image of three-dimensional images, and that this human interpretation is associated with 3D data handling. For this reason, we preferred to use 3D Fourier transformation for the multislice CH observer. However, whether 2D or 3D Fourier transformation generates a more accurate representation requires further investigation.²⁵

Even though a significant correlation between the human and CH observers was observed, the CH observer with mid- ρ_0 and channel number 5 tends to overestimate the performance compared with the human observer. Another channel number for the CH observer or other numerical observer models, such as channelized non-prewhitening (CNPW) and non-prewhitening (NPW) observers,¹⁹ may provide a more accurate prediction of the human observer.

The present approach may be useful for evaluating the effectiveness of a certain image processing technique. The current results suggest that although the SPECT images exhibited such dependence, yielding optimal results for the mid- ρ_0 channel model, statistically processed images may be relatively independent of such models.

However, it is unknown whether the independency of the frequency cutoff channels is observed for other conditions such that the optimization of frequency cutoff channels may be required.

This CH observer model with a frequency cutoff channel can be used to evaluate the effects of partial volume correction on statistically processed or unprocessed SPECT images.²⁸ Similarly, the effect of scatter correction for relative studies, such as is used in statistical analyses, has not yet been evaluated and may prove to be a useful substrate for further CH observer research.

CONCLUSION

The performance of the CH observer was similar to that of the human observers across all image types. This indicates that CH observer may be useful for evaluating image-processing methods in brain-perfusion SPECT.

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